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BAKALÁŘSKÁ PRÁCE



Tereza Klimošová

## Zakázané minory pro apexové třídy grafů Forbidden minors of apex classes of graphs

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Vedoucí bakalářské práce: RNDr. Daniel Král', Ph.D.

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Děkuji vedoucímu za trpělivost a ochotu, se kterou mi pomáhal při řešení a psaní práce, a Tomáši Gavenčiakovi za podporu ve všem, co dělám.

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Tereza Klimošová

Název práce: Zakázané minory pro apexové třídy grafů Autor: Tereza Klimošová Katedra : Katedra aplikované matematiky Vedoucí bakalářské práce: RNDr. Daniel Král', Ph.D. e-mail vedoucího: kral@kam.mff.cuni.cz

Abstrakt: V předložené práci se zabýváme hledáním minimálních zakázaných minorů, neboli obstrukcí, pro třídu apexů částečných 2-stromů. Jelikož je tato třída uzavřená na minory, má podle Robertson-Seymourovy věty konečnou množinu obstrukcí. Množina obstrukcí je jedna z možných charakterizací každé třídy uzavřené na minory.

V práci analyzujeme strukturu obstrukcí pro třídu apexů částečných 2-stromů a díky její znalosti nacházíme všechny obstrukce s výjimkou speciálního typu obstrukcí, které mají path-width 3. Při hledání obstrukcí využíváme znalosti obstrukcí pro příbuzné třídy grafů.

Klíčová slova: teorie grafů, minimální zakázaný minor, částečný 2-strom, apex

Title: Forbidden minors of apex classes of graphs Author: Tereza Klimošová Department: Department of Applied Mathematics Supervisor: RNDr. Daniel Král', Ph.D. Supervisor's e-mail address: kral@kam.mff.cuni.cz

Abstract: In the present work we search for the minimal forbidden minors (also called obstructions) for the class of apexes of partial 2-trees. Because this class is minor closed, by Robertson-Seymour's theorem it has a finite set of obstructions. The set of obstructions is one of the possible characterizations of every minor closed class.

We analyze a structure of possible obstructions for the class of apexes of partial 2-trees and thanks to this knowledge, we can classify all the obstructions for the class of apexes of partial 2-trees except for some special type of them with pathwidth three. We use the knowledge of obstructions for related classes of graphs.

Keywords: graph theory, minimal forbidden minor, partial 2-tree, apex,

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# Chapter 1 Introduction

The main topic of the thesis is classifying obstructions for the class of apexes of partial 2-trees, an open problem raised by Bogdan Oporowski during the BIRS graph minor workshop held in Banff, AB, in September 2008. In the thesis, we present structural results that allow us to list all obstructions for the class of apexes of  $K_4$ -minor-free graphs except for some special types of obstructions with path-width three, but these remaining obstructions can be easily generated by a computer and thus the presented results form one part of a complete solution of Oporowski's problem. The list of obstructions we have identified is given in Figures 1.1 and 1.2.

The class of apexes of partial 2-trees is minor closed. Many natural graph classes are minor closed, for example planar and outerplanar graphs, graphs embeddable on surfaces, graphs with bounded tree-width etc. Partial 2-trees form an intermediate class between outerplanar and planar graphs. Apexes of planar graphs play an important role because of their relation to deep open problems in graph theory, Hadwiger's conjecture, in particular. Since the classification of obstructions for apexes of planar graphs is a long-standing open problem, Oporowski asked to classify obstructions for apexes of some simpler subclasses of planar graphs.

Graph minors and minor closed graph classes were studied in the series of papers by Robertson and Seymour [4]. One of the many results contained in the series is the proof of Wagner's conjecture, that every infinite set of finite graphs contains two graphs such that one of them contains the other one as a minor. For minor closed graph classes the following corollary of this theorem is very important. Every minor closed class can be characterized by a finite set of obstructions, i.e., graphs that are not contained in the class, but all their proper minors are contained in the class. Hence, the number of obstructions for the class of apexes of partial 2-trees is finite.

Let us give some examples of classification results for other minor closed classes of graphs. The set of obstructions for the class of planar graphs consists of the complete graph  $K_5$  on five vertices and the complete bipartite graph  $K_{3,3}$  with partities of size three (this statement is equivalent to Kuratowski's theorem). The set of obstructions for outerplanar graphs consists of the complete graph  $K_4$  on four vertices and the complete bipartite graph  $K_{2,3}$  with partities of size two and three.



Figure 1.1: Obstructions with tree-width 4.



Figure 1.2: Obstructions with tree-width 3.

The set of obstructions for the class of the graphs embeddable on projective plane was classified by Archdeacon in [1]. There exists only one obstruction for the class of partial 2-trees—the complete graph  $K_4$  on four vertices.

An explicit knowledge of a set of obstructions for a particular minor closed class of the graphs is also important from the algorithmic point of view. Another result from the graph minor series [6] asserts that it can be tested in a cubic time whether an input graph contains a fixed graph as a minor. In particular, every minor-closed class of graphs can be recognized in polynomial time and the explicit knowledge of the obstructions often leads to an algorithm with a better running time.

### **1.1** Basic definitions

In this section, we survey basic definitions, notation and some theorems from graph theory in particular, there related to graph minors and tree-width, which are used throughout the thesis. Most of these topics are described in more detail, e.g., in Diestel's book [3].

**Definition 1.** A graph G is a pair (V(G), E(G)), where V(G) is a set of vertices and  $E(G) \subseteq \binom{V(G)}{2}$  is a set of edges.

A graph H such that  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$  is a subgraph of G.

An edge  $e = \{u, v\}$  is usually denoted as uv and the vertices u end v are called end-vertices of the edge e. Two vertices  $u, v \in V(G)$  are adjacent if there exists an edge e = uv in E(G). Vertices adjacent to v are also called *neighbors* of v and the set of all vertices adjacent to v is the *neighborhood* of v.

We say that a vertex v has degree k if v is contained in k edges in G.

**Definition 2.** Graphs G and H are *isomorphic* if there exists a bijection  $\varphi : V(G) \rightarrow V(H)$  such that  $uv \in E(G)$  if and only if  $\varphi(u)\varphi(v) \in E(H)$ .

In the thesis, we consider isomorphic graphs to be equal and graph classes to be closed under isomorphism.

**Definition 3.** A graph with an edge between every two vertices, i.e.  $(V, {V \choose 2})$ , is called a *complete graph* and is denoted  $K_n$ , where n = |V|.

**Definition 4.** A path P of length n is a graph with n + 1 vertices  $v_0, \ldots, v_n$  and edges  $e_i = v_i v_{i+1}$  for  $i = 0, \ldots, n-1$ . The vertices  $v_0$  and  $v_n$  are ends of the path P and the vertices  $v_1, \ldots, v_{n-1}$  are internal vertices of the path. A path in a graph G between vertices u and v or from u to v is a subgraph of G which is a path with ends u and v.

**Definition 5.** A cycle C of length n, where  $n \ge 3$ , is comprised of a path of length n-1 with ends u and v and an edge uv.

**Definition 6.** A graph obtained from G by subdividing an edge  $uv \in V(G)$  is the graph obtained by adding a vertex w into V(G) and replacing the edge uv by edges uw and vw. A graph H is a subdivision of a graph G, if H can be obtained from G by none, one or more subdividing of its edges.

**Definition 7.** Let G = (V, E) be a graph, e = uv an edge and w a vertex in G. Then the graph obtained from G by *deleting the edge* e is the graph  $G \setminus e = (V, E \setminus e)$ . The graph obtained from G by *deleting the vertex* w is the graph  $G \setminus w = (V \setminus \{w\}, E \cap \binom{V \setminus \{w\}}{2})$ .

**Definition 8.** A graph obtained from a graph G by contracting an edge e = uv is a graph obtained by deleting the edge e and identifying the vertices u and v. The resulting vertex  $\overline{uv}$  is adjacent to all the vertices which are adjacent to u or to v in  $G \setminus e$ .

**Definition 9.** A graph H is a *minor* of a graph G, if H can be obtained from G by a sequence of vertex deletions, edge deletions and edge contractions. If this sequence is nonempty, H is a *proper minor* of G.

Throughout our considerations we often use the word minor in the context when some of the vertices of graphs G and H are distinguished; we then require that the components of G containing the distinguished vertices correspond to the appropriate distinguished vertices of H

A class of graphs  $\mathcal{G}$  is *minor-closed* if every minor of every graph  $G \in \mathcal{G}$  belongs to  $\mathcal{G}$ .

An *obstruction* for a minor-closed class of graphs  $\mathcal{G}$  is a graph G such that  $G \notin \mathcal{G}$  but every proper minor of G is contained in  $\mathcal{G}$ .

**Definition 10.** A graph G is *connected* if there exists a path between any two vertices in G. Maximal connected subgraphs of a graph G are called *connected components*.

A vertex cut in a connected graph G is a set W of vertices of G, such that graph  $G \setminus W$  obtained from G by deleting vertices of W is not connected. A vertex cut W is called a k-cut if its size is k, i.e., |W| = k. The only vertex in 1-cut is called an *articulation*. G is called k-connected if its minimum vertex cut has size at least k. The connectivity of a graph G is the size of its minimum vertex cut.

**Definition 11.** Let G be a graph, W a vertex cut in G and C any connected component of the graph  $G \setminus W$ . Then the graph  $M = (V(W) \cup V(C), E(G) \cap {\binom{V(M)}{2}})$  is a *bridge* in the graph G produced by the vertex cut W. Note that this definition is different from the standard definition of a bridge, which does not include edges between vertices of the vertex cut in the bridge.

Menger's theorem is a well-known result about connectivity of graphs. There exist several versions of the theorem. In the thesis the following version is used. **Theorem 1** (Menger, 1927). Let G be a finite k-connected graph. Then for any pair of vertices u and v, there exist at least k (internally) vertex-disjoint paths between u and v.

**Corollary 2.** If G is a graph of connectivity  $k, W = \{w_1, \ldots, w_k\}$  is a minimum vertex cut and v a vertex not contained W, then there exists a path  $P_i$  between vertex v and every vertex  $w_i \in W$  for  $i = 1, \ldots, k$  such that paths  $P_1, \ldots, P_k$  are internally vertex-disjoint.

*Proof.* The graph  $G \setminus W$  has at least 2 connected components. Let u be a vertex in  $G \setminus W$  which belongs to a different connected component than v. By Menger's theorem, there exist k internally vertex-disjoint paths between u and v. Because u and v are in different components of  $G \setminus W$ , every path between u and v contains at least one vertex of W. Thus, if there are k internally vertex-disjoint paths, each of them contains one of the k vertices in W.

**Definition 12.** A *tree* is a connected graph that does not contain a cycle as a subgraph.

**Definition 13** (Robertson & Seymour [5]). A tree decomposition of a graph G is a pair  $(T, \mathcal{V})$  where T is a tree (a decomposition tree) and  $\mathcal{V} = \{V_t\}_{t \in V(T)}$  is a system of subsets  $V_t \subseteq V(G)$  with the following properties:

- $\bigcup_{t \in V(T)} V_t = V(G)$
- for every edge  $uv \in E(G)$  there exists  $t \in V(T)$  such that  $\{u, v\} \subseteq V_t$
- $V_t \cap V_{t'} \subseteq V_{t''}$ , if  $t, t', t'' \in V(T)$  and t'' is on the path between t and t'

To distinguish between the vertices of a graph and the vertices of its tree decomposition, we call vertices of the tree decomposition *nodes*. Though, we do not strictly distinguish between a node  $t \in V(T)$  and the associated set  $V_t$ .

The width of a tree decomposition  $(T, \mathcal{V})$  is the size of the largest set  $V_t$  in the tree decomposition decreased by 1, i.e.,  $\max_{t \in V(T)}(|V_t| - 1)$ .

The *tree-width* of a graph G is the minimum width of a tree decomposition of G. The *path-width* of a graph G is the minimum with of a tree decomposition of G such that its decomposition tree is a path.

**Definition 14.** Graphs with tree-width at most k are called *partial k-trees*. The class of partial k-trees is denoted by  $\mathcal{T}_k$ .

The following proposition shows a relation between tree-width of a graph and tree-width of its minors.

**Proposition 3** (Robertson & Seymour [5]). If a graph H is a minor of a graph G, then the graph H has tree-width smaller or equal than tree-width of G.

Proof. We prove that an edge contraction, an edge deletion and a vertex deletion cannot increase tree-width. Let  $(T, \mathcal{V})$  be a tree decomposition of G with the minimum width, uv an edge in E(G) and w a vertex in V(G). Then, the tree decomposition  $(T, \mathcal{V})$  is also a tree decomposition of  $G \setminus uv$ , the tree decomposition  $(T, \mathcal{V}')$  where the vertices u and v in the nodes of  $(T, \mathcal{V})$  are replaced the by vertex  $\overline{uv}$  is a tree decomposition of G with the edge uv contracted, and  $(T, \mathcal{V}'')$  where the vertex w in the nodes of  $(T, \mathcal{V})$  is deleted is a tree decomposition of  $G \setminus w$ . The decompositions  $(T, \mathcal{V}')$  and  $(T, \mathcal{V}'')$  do not have greater width than  $(T, \mathcal{V})$ .

The proposition gives us the following result for the class of partial k-trees:

**Corollary 4.** The class  $\mathcal{T}_k$  of partial k-trees is minor closed for every k.

**Definition 15.** Let  $\mathcal{G}$  be a class of graphs. A graph H is contained in the class of graphs  $\mathcal{G}^{apex}$  if there exists an *apex vertex* a in H such that the graph  $H \setminus a$  is contained in  $\mathcal{G}$ . We say that the graph H is an *apex* of  $H \setminus a$ .

**Observation 5.** If a class of graphs  $\mathcal{G}$  is minor closed then  $\mathcal{G} \subseteq \mathcal{G}^{apex}$ .

*Proof.* If G is a graph in  $\mathcal{G}$ , then  $G \setminus v$  is in  $\mathcal{G}$  for any vertex  $v \in V(G)$ , because  $G \setminus v$  is minor closed. Therefore G is in  $\mathcal{G}^{apex}$ .

**Observation 6.** If a graph class  $\mathcal{G}$  is minor closed, then the class  $\mathcal{G}^{apex}$  is minor closed.

*Proof.* Let G be a graph in  $\mathcal{G}^{apex}$  and a an apex vertex in G. As  $\mathcal{G}$  is minor closed, the vertex a is an apex vertex in every graph G' obtained from G by deleting a vertex in  $G \setminus a$  or deleting or contracting an edge in  $G \setminus a$ , because  $G' \setminus a$  is a minor of  $G \setminus a$ .

Let v be a vertex adjacent to a in G. Then the vertex a is an apex vertex in every graph obtained by deleting the edge av, because  $(G \setminus av) \setminus a = G \setminus a$ . In the graph G'' obtained by contracting the edge av, the vertex  $\overline{av}$  is an apex vertex because the graph  $G'' \setminus \overline{av}$  equals  $(G \setminus a) \setminus v$  which is in  $\mathcal{G}$ . Because the graph  $G \setminus a$  is in  $\mathcal{G}$ , it is in  $\mathcal{G}^{apex}$ , by Observation 5.

### 1.2 Overview

In the thesis, we present structural results that allows us to list all obstructions for  $\mathcal{T}_2^{apex}$  except for some special types of them with path-width three. By the following proposition, the class  $\mathcal{T}_2^{apex}$  is equivalent to the class of apexes of  $K_4$ -minor-free graphs.

**Proposition 7.** [3] A graph has tree-width smaller than 3 if and only if it does not contain  $K_4$  as a minor.

**Lemma 8.** The class  $\mathcal{T}_2^{apex}$  is minor closed.

*Proof.* Follows from Corollary 4 and Observation 6.

Since the class  $\mathcal{T}_2^{apex}$  is minor closed, the following theorem guarantees that the set of obstructions for  $\mathcal{T}_2^{apex}$  is finite although it does not give any estimate on the number of the obstructions. The theorem is one of many results contained in the graph minor series of Robertson and Seymour [4].

**Theorem 9** (Robertson & Seymour[7]). The set of obstructions for every minor closed class of graphs is finite.

We prove that  $\mathcal{T}_2^{apex}$  and their obstructions have tree-width bounded by 4 (see observations below). Because all graphs with tree-width two are, by Observation 5, in  $\mathcal{T}_2^{apex}$ , all obstructions have tree-width either three or four. This two cases are discussed in following two chapters. In Chapter 2 we describe all obstructions with tree-width 4 from the known set of obstructions for the class of partial 3-trees. Chapter 3 deals with obstructions with tree-width three. Since graphs with bounded tree-width have bounded connectivity, we classify obstructions by their connectivity.

The following two observations provide us upper bounds on tree-width of graphs in  $\mathcal{T}_2^{apex}$  and obstructions for  $\mathcal{T}_2^{apex}$ .

## **Observation 10.** Every graph in $T_2^{apex}$ has tree-width at most 3.

*Proof.* If a graph G is contained in  $\mathcal{T}_2^{apex}$ , it has an apex vertex a such that  $H \setminus a$  is in  $\mathcal{T}_2$ . Let  $(T, (V_t)_{t \in V(T)})$  be a tree decomposition of  $G \setminus a$  with width at most 2. Then  $(T, (V'_t)_{t \in V(T)})$ , where  $V'_t = V_t \cup \{a\}$  for every  $t \in V(T)$ , is a tree decomposition of the graph G with width at most 3.

### **Observation 11.** Obstructions for $\mathcal{T}_2^{apex}$ have tree-width at most 4.

*Proof.* We first prove that a vertex deletion decreases tree-width of a graph G at most by one. Suppose that the graph G has tree-width k and there exists a vertex  $v \in V(G)$  such that  $G \setminus v$  has tree-width at most k - 2. Then there exists a tree decomposition of  $G \setminus v$  with width at most k - 2. By adding the vertex v into every node of this decomposition, we obtain a tree decomposition of G with width at most k - 1. That contradicts the assumption that G has tree-width k.

By successive deleting a vertex we can decrease tree-width of any graph to 0. Thus, every graph G with tree-width greater than four has a proper minor H with tree-width 4. The graph H is not in  $\mathcal{T}_2^{apex}$  because tree-width of all graphs in  $\mathcal{T}_2^{apex}$  is at most 3. Then, G cannot be an obstruction for  $\mathcal{T}_2^{apex}$ .

## Chapter 2

## Obstructions with tree-width 4

In this chapter, we describe all obstruction for  $\mathcal{T}_2^{apex}$  of tree-width 4. By Observation 11, this is the maximum tree-width for obstructions for  $\mathcal{T}_2^{apex}$ . Every such obstruction is also an obstruction for the class  $\mathcal{T}_3$  of partial 3-trees as states the following observation.

**Observation 12.** Every obstruction G of  $\mathcal{T}_2^{apex}$  with tree-width 4 is also an obstruction for the class  $\mathcal{T}_3$ .

*Proof.* After any deletion or contraction, G becomes an apex of a  $K_4$ -minor-free graph and therefore a graph with tree-width at most 3. Hence, G must be an obstruction for  $\mathcal{T}_3$ .

The set of all obstructions for graphs of tree-width 3 (Figure 2.1) has been classified by Arnborg, Proskurowski and Corneil [2]. All we need to do, looking for the obstructions for  $\mathcal{T}_2^{apex}$  with tree-width 4, is to check whether any of the four obstructions for  $\mathcal{T}_4$  is also an obstruction for  $\mathcal{T}_2^{apex}$ .

**Lemma 13.** The graphs  $K_5$ ,  $M_6$  and  $M_8$  are obstructions for  $\mathcal{T}_2^{apex}$ . The graph  $M_{10}$  is not.

*Proof.* While checking all the possible deletions and contractions, we can omit deletions of a vertex because if a graph becomes an apex of a  $K_4$ -minor-free graph after deleting an edge uv, it becomes an apex after deleting either u or v, too.

![](_page_11_Figure_8.jpeg)

Figure 2.1: Obstructions for the class  $\mathcal{T}_4$ .

![](_page_12_Figure_0.jpeg)

Figure 2.2: Maximal proper minors of  $K_5$ .

In what follows, we will use the observation: If a graph G has less than four vertices of degree at least 3, then G is  $K_4$ -minor-free.

In the following pictures we exhibit all maximal proper minors of  $K_5$ ,  $M_6$  and  $M_8$ . Deletions and contractions of dotted edges are shown and an apex vertex is marked with a cross.

• Since any graph H obtained from  $K_5$  by contracting an edge has at most four vertices, removing any vertex from H results into a 3-vertex graph which cannot contain  $K_4$  as a minor. Hence, we focus on minors obtained by deleting an edge. After deletion of an edge uv, we have to choose the apex vertex carefully—as a vertex not adjacent to the end-vertex of the deleted edge. The graph G obtained from  $K_5$  by deleting the edge uv and the apex vertex has four vertices and an edge between two of them is missing (see Figure 2.2). Thus G does not contain  $K_4$  as a minor.

![](_page_12_Figure_5.jpeg)

Figure 2.3: Maximal proper minors of  $M_6$ .

• Since  $M_6$  is edge-transitive (i.e. for every two edges uv and u'v' in  $M_6$ , there is an isomorphism  $\varphi: M_6 \to M_6$ , such that  $\varphi(u)\varphi(v) = u'v'$ ), it is enough to

check its minors obtained by contracting or deleting a fixed edge. The graph obtained by deleting an edge uv in  $M_6$  has four vertices of degree 4 and two vertices of degree 3 (u and v). If we choose as the apex vertex one of two vertices adjacent to both the vertices of degree 3 (see Figure 2.3), the graph G obtained from  $M_6$  by deleting the edge uv and the apex vertex contains two vertices of degree 2 and only three vertices of degree at least 3. Hence, Gcannot contain  $K_4$  as a minor.

The graph H obtained by contracting the edge uv has three vertices of degree four and two vertices of degree three (because every two adjacent vertices in  $M_6$  have two common neighbors). Then the vertex  $\overline{uv}$  has degree 4 and we can choose it is an apex vertex in H. Since  $H \setminus \overline{uv}$  contains only two vertices of degree 3, it does not contain  $K_4$  as a minor.

![](_page_13_Figure_2.jpeg)

Figure 2.4: Maximal proper minors of  $M_8$ .

• All the vertices of the graph  $M_8$  have degree 3. Therefore a graph G obtained by deleting an edge uv in  $M_8$  contains 6 vertices of degree 3. Choose a vertex of degree 3 as the apex vertex. As shown in Figure 2.4, a graph obtained from G by deleting the apex vertex contains only 3 vertices of degree 3. Hence,  $M_8$ becomes after deleting an edge an apex of a  $K_4$ -minor-free graph.

In the case of a contraction of an edge there arises one vertex of degree 4 and

the degrees of the other 6 vertices remain 3. Choose the new vertex obtained by contraction to be the apex vertex. After deleting the apex vertex, the degree of four of the remaining vertices decreases and there will be only 2 vertices of degree 3. In Figure 2.4 the situation for two asymmetric types of edges is shown.

• Unlike the three previous graphs,  $M_{10}$  is not an obstruction for  $\mathcal{T}_2^{apex}$ : after deleting an edge, the graph is not an apex of a  $K_4$ -minor-free graph. In other words after removing any vertex, the graph still contains  $K_4$  as a minor—this is obvious for the vertices adjacent to the removed edge; other two cases, up to symmetry, are depicted in Figure 2.5.

removing a vertex removing a vertex

Figure 2.5: The graph  $M_{10}$  after deleting an edge.

At this point, we have found all obstructions for  $\mathcal{T}_2^{apex}$  with tree-width 4. We present a proof that  $K_5$ ,  $M_6$  and  $M_8$  are obstructions for  $\mathcal{T}_2^{apex}$  by checking all possible edge deletions and contractions. Because this checking is rather mechanical and for some graphs it can be quite tedious, we do not provide this verification in the rest of the thesis (although these proofs are necessary and we did them), hoping that the reader could do it himself if he wishes.

# Chapter 3

## Obstructions with tree-width 3

In this chapter, we search for obstructions with tree-width at most three. We discuss several cases according to the vertex-connectivity of obstructions, using the following proposition and observations:

**Proposition 14.** [3] Let H be a graph with maximum degree at most 3. Then if a graph G contains H as a minor, G contains a subdivision of H as a subgraph.

In particular, if a graph G contains  $K_4$  as a minor, G contains a subdivision of  $K_4$  as a subgraph. This fact is frequently used throughout the thesis. Since  $K_4$  is the only obstruction for the class  $\mathcal{T}_2$ , every obstruction for  $\mathcal{T}_2^{apex}$  must contain a subdivision of  $K_4$  after removing any vertex.

**Observation 15.** If G is an obstruction for  $\mathcal{T}_2^{apex}$ , every vertex and every edge in G is contained in at least one subdivision of  $K_4$ .

*Proof.* Suppose that a vertex v in G is not contained in any subdivision of  $K_4$ . Then  $G \setminus v$  is an apex of a  $K_4$ -minor-free graph, i.e., there exists an apex vertex a, which is contained in every subdivision of  $K_4$  in  $G \setminus v$ . But since every subdivision of  $K_4$  in G is contained in  $G \setminus v$ , too, all subdivisions of  $K_4$  in G contain the vertex a. Therefore, G is an apex of a  $K_4$ -minor free graph with a as the apex vertex. Hence, the obstruction G does not contain any vertex that is not contained in any subdivision of  $K_4$ . The proof that the obstruction G does not have any edge that is not contained in any subdivision of  $K_4$  is similar.

**Observation 16.** Every graph of treewidth 3 is at most 3-connected.

**Observation 17.** There exists exactly one disconnected obstruction for  $T_2^{apex}$ , which consists of two disjoint  $K_4$ 's.

Proof. Each connected component of an obstruction G has to contain  $K_4$  as a minor. If  $G \notin \mathcal{T}_2^{apex}$  contains a component G' that does not contain  $K_4$  as a minor, then  $G \setminus G' \notin \mathcal{T}_2^{apex}$ . Hence, G cannot be an obstruction for  $\mathcal{T}_2^{apex}$ . This implies, that any graph which is not equal to  $2K_4$  contains two disjoint subdivisions of  $K_4$  is not an obstruction for  $\mathcal{T}_2^{apex}$  (because it contains  $2K_4$  as a proper minor). Besides, the intersection of all subdivisions of  $K_4$  in an obstruction G for  $\mathcal{T}_2^{apex}$  have to be empty (any vertex in this intersection would be an apex vertex in G). Using this facts we obtain the following two observations for connected obstructions:

**Observation 18.** Every two subdivisions of  $K_4$  in a connected obstructions have at least one common vertex and there are at least 3 distinct subdivisions of  $K_4$  in every connected obstruction.

**Observation 19.** Every connected obstruction for  $\mathcal{T}_2^{apex}$  is 2-connected.

Proof. Suppose that G is an connected obstruction for  $\mathcal{T}_2^{apex}$  that contains an articulation w. Because every subdivision of  $K_4$  is 2-connected, every subdivision of  $K_4$  in G is whole contained in one of the bridges produced by w. By the Observation 18 any two of subdivisions of  $K_4$  in G intersect. Therefore, if there exist subdivisions of  $K_4$  in at least two different bridges, all subdivisions of  $K_4$  in G contain the articulation vertex. But then, G is an apex of a  $K_4$ -minor-free graph with the articulation being an apex vertex. Thus, all subdivisions of  $K_4$  in G are in the same bridge. But as the vertex-cut w produces at least two bridges, at least one of them does not contain any subdivision of  $K_4$  and therefore, by Observation 15, G is not an obstruction for  $\mathcal{T}_2^{apex}$ . Hence, connected obstructions for  $\mathcal{T}_2^{apex}$  with 1-cuts do not exist.

### 3.1 Obstructions of connectivity 2

For the reminder of this section, let  $\mathbb{G}$  be a 2-connected obstruction for  $\mathcal{T}_2^{apex}$  with a vertex cut  $\{x, y\}$ . Let  $\tilde{K}_4$  be a subdivision of  $K_4$ . The intersection of  $\tilde{K}_4$  with the vertex cut  $\{x, y\}$  is denoted as an upper index. For example  $\tilde{K}_4^{\emptyset}$  means that a subdivision of  $K_4$  does not contain any of the vertices x, y,  $\tilde{K}_4^x$  means that a subdivision of  $K_4$  contains the vertex x and does not contain the vertex y.  $\tilde{K}_4^{xy}$ contains both the vertices x and y. We say that a bridge M contains  $\tilde{K}_4^{xy}$  if Mcontains all vertices of degree three of this subdivision of  $K_4$ .

In the following two observations, we introduce some of basic properties of obstructions  $\mathbb{G}$  that are used in several lemmas further in the section.

**Observation 20.** There exists at least one  $\tilde{K}_4$  in  $\mathbb{G}$  that does not contain x and at least one  $\tilde{K}_4$  in  $\mathbb{G}$  that does not contain y.

*Proof.* Otherwise  $\mathbb{G}$  is an apex of a  $K_4$ -minor-free graph with x or y being an apex vertex.

**Observation 21.** Every bridge of the obstruction  $\mathbb{G}$  contains at least one  $\tilde{K}_4$ .

Proof. Suppose that  $\mathbb{G}$  has a bridge M that does not contain any  $\tilde{K}_4$ . As M is connected, it contains a path between x and y thus, it contains an edge xy as a proper minor (recall that  $M \setminus \{x, y\}$  is a nonempty graph). Therefore, a graph H obtained from  $\mathbb{G}$  by replacing the bridge M by an edge between x and y is an apex of a  $K_4$ -minor-free graph. Let a be an apex vertex in H. The graph  $\mathbb{G} \setminus a$  does not contain any  $\tilde{K}_4^{\emptyset}$ ,  $\tilde{K}_4^x$  or  $\tilde{K}_4^y$ , because every such subdivision of  $K_4$  is contained in  $H \setminus a$ , too. If there exists  $\tilde{K}_4^{xy}$  in  $\mathbb{G} \setminus a$ , then, in  $H \setminus a$ , there exists  $\tilde{K}_4^{xy}$  with the same vertices of degree 3, that contains the edge xy instead of some path in M. Thus,  $\mathbb{G}$  does not have any bridge that does not contain any  $\tilde{K}_4$ .

The following lemma describe two possible types of bridges produced by the 2-cut  $\{x, y\}$  in an obstruction  $\mathbb{G}$ . In the reminder of the chapter we study an arrangement of  $\tilde{K}_4$ 's in these bridges in detail.

**Lemma 22.** There exists exactly one bridge  $M_0$  in  $\mathbb{G}$  that contains  $\tilde{K}_4^{\emptyset}$  or both  $\tilde{K}_4^x$ and  $\tilde{K}_4^y$ . Consequently, there exists at least one bridge  $M_1$  in  $\mathbb{G}$  such that every  $\tilde{K}_4$ in  $M_1$  contains both x and y.

*Proof.* Let us consider a bridge  $M_0$  that contains  $\tilde{K}_4^{\emptyset}$ ,  $\tilde{K}_4^x$  or  $\tilde{K}_4^y$ . There exists at least one such bridge in G, otherwise x and y are apex vertices.

If  $M_0$  contains  $\tilde{K}_4^{\emptyset}$ , every  $\tilde{K}_4$  in any other bridge must contain both x and y: otherwise, it is disjoint with  $\tilde{K}_4^{\emptyset}$  in  $M_0$ . By Observation 20, there exist  $\tilde{K}_4$  that does not contain x and  $\tilde{K}_4$  that does not contain y. Thus, if  $\mathbb{G}$  does not contain any  $\tilde{K}_4^{\emptyset}$ , it contains  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$ . Suppose that  $M_0$  contains  $\tilde{K}_4^x$ . Then every  $\tilde{K}_4^y$  has to be contained in  $M_0$  as well (otherwise  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  are disjoint). It follows that all  $\tilde{K}_4^x$  are contained in  $M_0$ . Hence, any other bridge can contain only  $\tilde{K}_4^{xy}$ 's. By Observation 21, every such bridge contains at least one  $\tilde{K}_4^{xy}$ .

The bridge containing  $\tilde{K}_4^{\emptyset}$  or  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  is denoted by  $M_0$ . Any other bridge contains only  $\tilde{K}_4^{xy}$ 's and is denoted  $M_1$ . Let  $M_1$  be one such bridge.

We first focus on a bridge  $M_1$ . Let bridges shown in Figures 3.1a and 3.1b be denoted by  $\mathfrak{C}$  and  $\mathfrak{A}$  respectively. We show that  $M_1$  is isomorphic to  $\mathfrak{C}$  or  $\mathfrak{A}$  and there is only one bridge different from  $M_0$  in the obstruction  $\mathbb{G}$ .

**Observation 23.**  $\in$  or  $\in$  is a minor of a bridge  $M_1$ .

![](_page_17_Figure_8.jpeg)

Figure 3.1: The only possible bridges isomorphic to  $M_1$ .

**Lemma 24.** If  $\mathfrak{A}$  is a minor of a bridge  $M_1$ , then  $M_1$  is equal to  $\mathfrak{A}$ .

Proof. If  $\mathbb{C}$  is a proper minor of  $M_1$ , replacing  $M_1$  by  $\mathbb{C}$  produces an apex of a  $K_4$ minor-free graph. An apex vertex has to be in  $M_0 \setminus \{x, y\}$  eliminate all  $\tilde{K}_4^{\emptyset}$ 's,  $\tilde{K}_4^{x'}$ 's and  $\tilde{K}_4^{y'}$ 's in  $M_0$ , but at the same time, an apex vertex has to eliminate  $K_4$  in  $\mathbb{C}$ , which is not possible. Thus,  $\mathbb{C}$  is not a proper minor of  $M_1$ .

**Lemma 25.** If  $\mathfrak{G}$  is not a minor of a bridge  $M_1$ , then  $M_1 = \mathfrak{G}$ .

Proof. By Lemma 23, a bridge  $M_1$  contains  $\mathfrak{C}$  as a minor. Suppose that  $\mathfrak{C}$  is a proper minor of  $M_1$ . Then the graph G' obtained by replacing  $M_1$  in  $\mathfrak{G}$  by  $\mathfrak{C}$ , is an apex of a  $K_4$ -minor-free graph (as  $\mathfrak{G}$  is an obstruction). An apex vertex has to be in  $M_0 \setminus \{x, y\}$ , because  $M_0$  contains  $\tilde{K}_4^{\emptyset}$  or  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$ . An apex vertex has to cut off all paths between x and y in  $M_0$ , otherwise exists  $\tilde{K}_4$  consisting of a path between x and y and  $\mathfrak{C}$ . But if there exists such an apex vertex, its removal eliminates all  $\tilde{K}_4$  in the original bridge  $M_1$  and thus it eliminates all  $\tilde{K}_4$  in  $\mathfrak{G}$ . This contradicts that  $\mathfrak{G}$  is an obstruction for  $\mathcal{T}_2^{apex}$ .

#### Lemma 26. A graph G contains 2 bridges.

Proof. We observed that the bridge  $M_0$  is unique and all other bridges are either  $\mathfrak{A}$  or  $\mathfrak{A}$  (all of them are of the same type). Let us suppose there are at least two such bridges,  $M_1$  and  $M'_1$ . Then by replacing  $M'_1$  by an edge xy, we obtain an apex of a  $K_4$ -minor-free graph. But as  $M_1$  with the edge xy compose  $\tilde{K}_4$  and  $M_0$  contains  $\tilde{K}_4^{\emptyset}$  or  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$ , there cannot be any apex vertex. Therefore the graph  $\mathfrak{G}$  contains only one bridge  $\mathfrak{A}$  or  $\mathfrak{K}$  in addition to  $M_0$ .

Keeping the preceding notation, in the following two lemmas we describe the only obstruction that has the bridge  $M_1$  equal to  $\mathfrak{A}$  and in the rest of the section we investigate the obstructions with  $M_1$  equal to  $\mathfrak{A}$ .

**Lemma 27.** If  $M_1$  equals  $\mathfrak{A}$ , then  $M_0$  contains  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  and has an cut-vertex.

Proof. As  $\mathbb{G}$  does not contain two disjoint  $\tilde{K}_4$ ,  $M_0$  cannot contain  $\tilde{K}_4^{\emptyset}$ , therefore it has to contain  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$ . Suppose that  $M_0$  is 2-connected. Then  $\mathbb{G}$  is not an obstruction, because  $\mathbb{G}$  without the edge xy is not an apex of a  $K_4$ -minor-free graph—since  $\mathbb{G}$ contains  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  in  $M_0$ , no vertex in  $M_1$  (including x and y) can be an apex vertex. Since  $M_0$  is 2-connected, after deleting any vertex in  $M_0$  except x,y, there remains a path between x and y in  $M_0$ . This path and  $M_1$  composes  $\tilde{K}_4$ .  $\Box$ 

**Lemma 28.** The graph  $\mathbb{G}$  in Figure 3.2 is the only obstruction with the bridge  $M_1$  equal to  $\mathbb{G}$ .

*Proof.* The graph  $\mathbb{G}$  from Figure 3.2 is the minimal graph containing  $M_1$  equal to  $\mathbb{C}$  and satisfying conditions on  $M_0$  from Lemma 27. Thus, it is a minor of every obstruction for  $\mathcal{T}_2^{apex}$  with  $M_1$  equal to  $\mathbb{C}$ . Since  $\mathbb{G}$  is an obstruction for  $\mathcal{T}_2^{apex}$ , it is not a proper minor of any other obstruction for  $\mathcal{T}_2^{apex}$ . Therefore,  $\mathbb{G}$  is the only obstruction with  $M_1$  equal to  $\mathbb{C}$ .

![](_page_19_Figure_0.jpeg)

Figure 3.2: The only obstruction with the xy.

**Lemma 29.** If  $M_1$  equal to  $\mathfrak{C}$  and  $M_0$  contains  $\tilde{K}_4^{\emptyset}$ , there are exactly two disjoint paths from x to y in  $M_0$ .

*Proof.* If there are more than two paths from x to y, after deleting an edge containing x or y in one of these paths, there remain at least two paths from x to y and we cannot cut off all of them by a single apex vertex in  $M_0$ . Thus every apex vertex has to be in  $M_1$  to eliminate  $\tilde{K}_4$  consisting of  $M_1$  and one of the paths between x and y in  $M_0$ . At the same time every apex vertex has to be in  $M_0 \setminus \{x, y\}$ , to eliminate  $\tilde{K}_4^{\emptyset}$ .

If there exists only one path between x and y in  $M_0$ , there is an articulation vertex  $z \neq x, y$  in  $M_0$ . As G is 2-connected, z divides  $M_0$  into two parts: the part  $M_{0x}$ containing x and the part  $M_{0y}$  containing y. Suppose that without loss of generality,  $\tilde{K}_4^{\emptyset}$  is in the part  $M_{0x}$ . Then, if we consider the vertex cut  $\{x, z\}$  instead  $\{x, y\}$ and apply Lemma 22, we get that as  $M_{0x}$  contains  $\tilde{K}_4^{\emptyset}$  or  $\tilde{K}_4^z$ , the other bridge consisting of  $M_1$  equal to  $\mathfrak{C}$  and  $M_{0y}$  contains only  $\tilde{K}_4^{xz}$  and equals to  $\mathfrak{C}$  or  $\mathfrak{C}$ , which is impossible.

Lemma 29 gives us four possible minors of obstructions in Figure 3.3, i.e., every graph satisfying Lemma 29 have at least one of the graphs in Figure 3.3 as a minor. None of these four graphs is a  $K_4$ -minor-free graph, but in the case a) the graph is not an obstruction—we can remove the dashed edge and the resulting graph is not an apex of a  $K_4$ -minor-free graph. The graphs in Figures 3.3b, c and d are obstructions.

![](_page_19_Figure_6.jpeg)

Figure 3.3: Obstructions of connectivity 2 with  $\tilde{K}_4^{\emptyset}$ .

Now we are going to describe obstructions with  $M_1$  equal to  $\mathfrak{K}$  that do not contain  $\tilde{K}_4^{\emptyset}$ . They have more complex structure than those containing  $\tilde{K}_4^{\emptyset}$ . Although there exist only five such obstructions, it takes several pages to describe all of them and prove that no other obstruction exists.

**Lemma 30.** If  $\mathbb{G}$  consists of  $M_1$  equal to  $\mathfrak{C}$  and  $M_0$  that does not contain  $\tilde{K}_4^{\emptyset}$  (and therefore it contains  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$ ),  $M_0$  is 2-connected and every two  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  share at least two vertices.

*Proof.* If there is an articulation vertex z in  $M_0$ , it is contained in both  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  (they must not be disjoint). It is also contained in every path from x to y in  $M_0$  and consequently in every  $\tilde{K}_4^{xy}$ , thus z is contained in all  $\tilde{K}_4$  of the graph  $\mathbb{G}$ . But then  $\mathbb{G}$  is not an obstruction. Hence,  $M_0$  is 2-connected.

 $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  must share at least one vertex. If they share exactly one vertex z, by Menger's theorem there exists a path P from a vertex  $u \neq z$  in  $\tilde{K}_4^x$  to a vertex  $v \neq z$ in  $\tilde{K}_4^y$  avoiding  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  (i.e. inner vertices of P are not in any of the graphs  $\tilde{K}_4^x$ and  $\tilde{K}_4^y$ ). In fact, the path P has to be only an edge because if we replace P by an edge uv, the graph G does not become an apex of a  $K_4$ -minor-free graph. Then we have to distinguish the following cases: If the edge uv = xy, then  $M_1$  is equal to  $\mathfrak{A}$ (which the lemma asserts not to be the case). If  $u \neq x$  and  $v \neq y$ , the graph obtained from G by contracting the edge uv is not an apex of a  $K_4$ -minor-free graph.

If the edge uv equals xv, we can consider the vertex cut  $\{x, z\}$  with bridges  $M'_0$ and  $M'_1$ . The vertex y has to be in  $M'_0$  thus  $M'_1 = \tilde{K}^x_4$ , then  $M'_1$  is necessarily  $\mathfrak{A}$  and the graph is the known obstruction in Figure 3.2. For the edge uy, we obtain the same conclusion using symmetric arguments.

![](_page_20_Figure_6.jpeg)

Figure 3.4: Possible types of a structure of  $\tilde{K}_4^x$  in an obstruction.

The vertices x in  $\tilde{K}_4^x$  and y in  $\tilde{K}_4^y$  have degrees 2 or 3, i.e. the obstruction  $\mathbb{G}$  has one of the graphs in Figure 3.4 as a minor.

In the following lemmas,  $\mathbb{G}$  is an obstruction such that  $M_1$  equals  $\mathfrak{C}$ ,  $M_0$  does not contain  $\tilde{K}_4^{\emptyset}$  and the vertex x has degree 2 in every  $\tilde{K}_4^x$  (see Figure 3.4a). The case that x has degree three in  $\tilde{K}_4^x$  is dealt later.

**Observation 31.** For every  $\tilde{K}_4^x$  (with vertices denoted as in Figure 3.4a) and  $\tilde{K}_4^y$  in  $\mathbb{G}$ ,  $\tilde{K}_4^y$  contains at least one vertex of the path between  $q_1$  and x and at least one vertex of the path between  $q_3$  and x.

Proof. Suppose that  $\tilde{K}_4^y$  does not contain any vertex of the path between x and  $q_1$ , or  $q_3$  respectively. Then the graph H obtained from  $\mathbb{G}$  by contracting the whole path between x and  $q_1$  or  $q_3$  (but only one of them) into x is not a member of  $\mathcal{T}_2^{apex}$ : neither  $\tilde{K}_4^x$  nor  $\tilde{K}_4^y$  was eliminated by the contraction. Since any vertex of the  $\tilde{K}_4^y$  was not contracted into x,  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  share at least 2 vertices. Thus, the bridge  $M_0$  in H is 2-connected. Therefore there cannot exist any vertex that eliminates  $\tilde{K}_4^x$ ,  $\tilde{K}_4^y$  and cuts off all paths between x and y in  $M_0$  at the same time. Hence, the graph H does not have any apex vertex.

**Lemma 32.** Let vertices of some  $\tilde{K}_4^x$  in  $\mathbb{G}$  be denoted as in Figure 3.4a. The vertices  $x, q_2$  and  $q_4$  divide  $\tilde{K}_4^x$  into three connected components (see Figure 3.5). Every path between vertices of different components in  $M_0$  contains at least one of the vertices  $x, y, q_2, q_4$  (otherwise,  $\mathbb{G}$  contains  $\tilde{K}_4^{\emptyset}$ ). Moreover, there exist internally vertex-disjoint paths from y to vertices in at least two of the components of  $\tilde{K}_4^x \setminus \{x, q_2, q_4\}$  in  $M_0$ .

![](_page_21_Figure_3.jpeg)

Figure 3.5: Vertices  $x, q_2$  and  $q_4$  divide  $K_4^x$  into three components.

Proof. Suppose that all paths between y and vertices of  $\tilde{K}_4^x$  in  $M_0$  that avoid  $\tilde{K}_4^x$ , i.e., do not have any internal vertex in  $\tilde{K}_4^x$ , have their ends in the same component  $C_1$  or in  $q_2$  or  $q_4$ . By Observation 31, there are vertices of  $\tilde{K}_4^y$  in the paths between x and  $q_1$  and between x and  $q_3$ , thus vertices of  $\tilde{K}_4^y$  occurs in at least two different components of  $\tilde{K}_4^x \setminus \{x, q_1, q_2\}$ . Every path between different components contains  $x, y, q_2$  or  $q_4$ , because we consider an obstruction  $\mathbb{G}$  that does not contain  $\tilde{K}_4^{\emptyset}$ . Thus, vertices  $q_2$  and  $q_4$  form 2-cut in  $\tilde{K}_4^y$ , because  $\tilde{K}_4^y$  does not contain the vertex x and we suppose that there does not exist paths avoiding  $\tilde{K}_4^x$  between y and vertices of different components of  $\tilde{K}_4^x \setminus \{x, q_2, q_4\}$ .

The 2-cut  $\{q_2, q_4\}$  yields two bridges in  $\tilde{K}_4^y$ . Observe that neither of these bridges contains vertices of more than one component of  $\tilde{K}_4^x \setminus \{x, q_2, q_4\}$ . All vertices of degree 3 in  $\tilde{K}_4^y$  are in the same bridge  $B_1$ . The other bridge  $B_2$  consists only of a path between  $q_2$  and  $q_4$ . The vertex y and vertices of  $\tilde{K}_4^y$  in  $C_1$  are contained the bridge  $B_1$ : y and vertices of  $\tilde{K}_4^y$  in  $C_1$  must be in the same bridge and if they are in  $B_2$ , there exists  $\tilde{K}_4^{\emptyset}$  consisting of  $B_1$  and a path between  $q_2$  and  $q_4$  in  $C_1$ .

The bridge  $B_1$  does not contain any vertex in two of the components of  $\tilde{K}_4^x \setminus \{x, q_2, q_4\}$ ,  $C_2$  and  $C_3$ , different from  $C_1$ . Since the both components  $C_2$  and  $C_3$  contain a path between the vertices  $q_2$  and  $q_4$ , there exist  $\tilde{K}_4^y$  avoiding  $C_2$  and  $\tilde{K}_4^y$  avoiding  $C_3$  in  $\mathbb{G}$ . Thus, there exists  $\tilde{K}_4^y$  avoiding the component containing the vertex  $q_1$  (and internal vertices of the path between  $q_1$  and x) or  $\tilde{K}_4^y$  avoiding the component containing  $q_3$ . That contradicts the previous observation.

Every graph satisfying the conclusion of Lemma 32 contains one of the graphs in Figure 3.6 as a minor. Both of the graphs in Figure 3.6 are obstructions and these are all obstructions without  $\tilde{K}_4^{\emptyset}$  that contains  $\tilde{K}_4^x$  with x of degree 2 or  $\tilde{K}_4^y$  with y of degree 2.

![](_page_22_Figure_3.jpeg)

Figure 3.6: Obstructions that contain  $\tilde{K}_4^x$  with x of degree two.

In what follows, we assume that  $\mathbb{G}$  is an obstruction such that  $M_1$  is equal to  $\mathfrak{C}$ ,  $M_0$  does not contain  $\tilde{K}_4^{\emptyset}$  and vertices x or y have degree 3 in  $\tilde{K}_4^x$  or  $\tilde{K}_4^y$  respectively (see Figure 3.4b). Vertices of degree 3 in  $\tilde{K}_4^x$  except x are denoted by  $q_1, q_2$  and  $q_3$  as in Figure 3.4b. The path between the vertices x and  $q_i$  in  $\tilde{K}_4^x$ , that does not contain any of the remaining two vertices of degree 3, is denoted  $Q_i$ . Let  $\tilde{K}_4^{y/x}$  denote graph  $\tilde{K}_4^y$  without edges contained in  $\tilde{K}_4^x$ .

**Observation 33.**  $\tilde{K}_4^{y\setminus x}$  in  $\mathbb{G}$  contains a graph in Figure 3.7a, Figure 3.7d or Figure 3.7e as a minor.

*Proof.* As  $\tilde{K}_4^x$  and  $\tilde{K}_4^y$  share at least two vertices, there exist at least two internally vertex-disjoint paths  $P_1$  and  $P_2$  from y to distinct vertices  $p_1$  and  $p_2$  in  $\tilde{K}_4^x$ . Because y has degree 3 in  $\tilde{K}_4^y$  and  $\tilde{K}_4^y$  is 2-connected, there exists a path  $P_3$  from y to a vertex  $p_3$  in  $\tilde{K}_4^x$  (Figure 3.7a) or in one of paths  $P_1$ ,  $P_2$  (without loss of generality suppose  $P_2$ )—as shown in Figures 3.7b and c. Note that the graph in Figure 3.7c contains the graph in the Figure 3.7b as a minor.

Suppose that  $p_3$  is in  $P_2$ . Because  $K_4$  does not contain parallel edges, there has to exist a path  $P_4$  avoiding  $P_1$ ,  $P_2$ ,  $P_3$  and  $\tilde{K}_4^x$  with ends u and v of the following properties: The vertex u is an internal vertex of  $P_3$  or an internal vertex of the subpath of  $P_2$  between y and  $p_3$ . Without loss of generality, suppose that u is in the

![](_page_23_Figure_0.jpeg)

Figure 3.7: Possible minors of  $\tilde{K}_4^{y\setminus x}$ .

path  $P_2$ . The vertex v is either in  $\tilde{K}_4^x$ , in  $P_3$  or in  $P_1$  and then  $\tilde{K}_4^{y\setminus x}$  in  $\mathbb{G}$  contains Figure 3.7a, d or e respectively as a minor.

In the following lemmas, we classify obstructions containing the graphs in Figures 3.7a, d and e as a minors of  $\tilde{K}_4^{y\setminus x}$ . When deciding whether a minor-minimal graph that contains a particular minor of  $\tilde{K}_4^{y\setminus x}$  is an obstruction, we use the fact that if a graph contains an obstruction as a proper minor, the graph is not an obstruction.

**Lemma 34.** There are two minor-minimal graphs containing the graph from Figure 3.7e as a minor of  $\tilde{K}_4^{y\setminus x}$ . These are the two graphs depicted in Figure 3.8. None of these graphs is contained in  $\mathcal{T}_2^{apex}$ . The first graph (given in Figure 3.8a), is not an obstruction (it is possible to delete the dashed edge) and the second graph is an obstruction.

![](_page_23_Figure_5.jpeg)

Figure 3.8: Obstructions that contain the graph in Figure 3.7e as a minor of  $\tilde{K}_4^{y\setminus x}$ .

*Proof.* If the vertices  $p_1$  and  $p_2$  belong to the same path  $Q_i$ , we obtain the graph in Figure 3.8b. In all other cases it is possible to contract vertices  $p_1$  and  $p_2$  into two distinct vertices among the vertices  $q_1, q_2$  and  $q_3$ , which results in the graph in Figure 3.8a with the dashed edge present. **Lemma 35.** There are three minimal graphs containing the graph from Figure 3.7d as a minor of  $\tilde{K}_4^{y\setminus x}$ . These are the two graphs depicted in Figure 3.9 and the graph from Figure 3.8b. None of these graphs is contained in  $T_2^{apex}$ . The graph in Figure 3.9a is not an obstruction (by deleting of the dashed edges, we obtain the first obstruction in Figure 3.6). The graph in Figure 3.9b is an obstruction.

![](_page_24_Figure_1.jpeg)

Figure 3.9: Obstructions that contain the graph in Figure 3.7d as a minor of  $\tilde{K}_4^{y\backslash x}$ .

*Proof.* If the vertices  $p_1$  and  $p_2$  belong to the same path  $Q_i$  between  $q_i$  and x in  $\tilde{K}_4^x$ , we obtain one of the graphs in Figure 3.9, in all other cases it is possible to contract vertices  $p_1$  and  $p_2$  into two distinct vertices among the vertices  $q_1, q_2$  and  $q_3$ , which results to graphs isomophic to the graph in Figure 3.8b.

**Lemma 36.** There are two minor-minimal graphs containing the graph from Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$ , such that no two of the vertices  $p_1$ ,  $p_2$  and  $p_3$  are contained in the same path  $Q_i$  in  $\tilde{K}_4^x$ . These are the two graphs depicted in Figure 3.10. The graph in Figure 3.10a is not an obstruction (by deleting dashed edges and contracting crossed edge we obtain  $K_5$ ). The graph in Figure 3.10b is an obstruction.

![](_page_24_Figure_5.jpeg)

Figure 3.10: Obstructions that contain the graph in Figure 3.7d as a minor of  $\tilde{K}_4^{y \setminus x}$ .

Proof. Consider an obstruction  $\mathbb{G}$  the graph from Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$ , such that no two of the vertices  $p_1$ ,  $p_2$  and  $p_3$  are contained in the same path  $Q_i$  in  $\tilde{K}_4^x$ . If the vertex  $p_i$  is contained in the path  $Q_j$ , we contract  $p_i$  into  $q_j$ . If some of the vertices  $p_1$ ,  $p_2$  and  $p_3$  is not contained in any of the paths  $Q_1$ ,  $Q_2$  and  $Q_3$ , they are contained in the cycle  $q_1q_2q_3$ . We contract edges of this cycle as long as it is possible to keep vertices  $p_1$ ,  $p_2$  and  $p_3$  distinct, and vertices  $q_1$ ,  $q_2$  and  $q_3$  distinct. By the contractions, we obtain one the graphs in Figure 3.10.

In the following lemmas, we suppose that an obstruction  $\mathbb{G}$  contains the graph from Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$  and at least two paths from y end on the same path  $Q_i$ . Without loss of generality, paths  $P_1$  and  $P_2$  end on  $Q_1$ ,  $p_1$  is nearer to xthan  $p_2$  (see Figure 3.11a). If  $P_3$  ends on  $Q_1$ ,  $p_3$  is nearer to  $q_1$  than both  $p_1$  and  $p_2$ .

T denotes the part of the obstruction  $\mathbb{G}$  that consists of the path  $P_1$  without the vertex y and the subpath of the path  $Q_1$  between x and  $p_2$  without its ends. This part is marked by dots in Figure 3.11b. In the following observation and two lemmas, we show some properties of paths between vertices of T and vertices of  $M_0 \setminus T$ . Later, we use this properties for identifying obstructions that satisfy assumptions above.

![](_page_25_Figure_3.jpeg)

Figure 3.11:

**Observation 37.** If a graph contain three internally disjoint paths between two vertices and an path between internal vertices in two of these three paths, the graph contains  $K_4$  as a minor.

**Lemma 38.** Every path in  $\tilde{K}_4^y$  from a vertex in  $P_2$  to  $M_0 \setminus T$  contains the vertex y or  $p_2$ .

*Proof.* Otherwise it is possible to contract vertices  $p_1$  and  $p_2$  into a single vertex, eliminating neither  $\tilde{K}_4^x$  nor  $\tilde{K}_4^y$ . Then, the resulting graph is not an apex of a  $K_4$ -minor-free graph (see Figure 3.11b and apply the observation above to three paths between x and  $p_2$ ).

**Lemma 39.** There exists a path R between the vertex  $p_1$  and a vertex in  $M_0 \setminus T$  avoiding T, which does not contain any of vertices  $p_2$ , y and x.

*Proof.* There must exist a path R' between a vertex in T and a vertex in  $M_0 \setminus T$  which does not contain any of vertices  $p_2$ , y, x, otherwise vertices  $p_2$  and y form a 2-cut which divides  $\tilde{K}_4^y$  into three components, such that inner vertices of each of the paths  $P_1$ ,  $P_2$  and  $P_3$  are contained in different component (note that x is not in  $\tilde{K}_4^y$ ). Two of these components have to represent the same edge in  $K_4$ . Therefore it is possible to delete edges and inner vertices of one of the paths  $P_1$ ,  $P_2$  and  $P_3$  without eliminating  $\tilde{K}_4^y$ .

The path R' must have a subpath R avoiding T. The end of the path R in T has to be the vertex  $p_1$ , otherwise it can be contracted into vertex  $p_1$  without yielding an apex of a  $K_4$ -minor-free graph.

In the following two lemmas deal with obstructions such that they contain the graph from Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$  and the vertices  $p_1$  and  $p_2$  are contained in the path  $Q_1$ . Note that that these obstructions must satisfy conclusions of Lemma 38 and Lemma 39. We classify the obstructions by the position of the vertex  $p_3$  in  $\tilde{K}_4^x$ .

![](_page_26_Figure_4.jpeg)

Figure 3.12: Obstructions that contain the graph in Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$  with the vertices  $p_1$ ,  $p_2$  and  $p_3$  on  $Q_1$ .

**Lemma 40.** There are two minor-minimal graphs containing the graph from Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$ , such that the vertices  $p_1$ ,  $p_2$  and  $p_3$  are contained in the path  $Q_1$ . These are the two graphs depicted in Figure 3.12. The first graph is an obstruction which we have already identified (see Figure 3.8b). The second graph is not an obstruction (after deleting the dashed edges, we obtain the obstruction depicted in Figure 3.6).

*Proof.* Note that none of the graphs in Figure 3.13 is contained in  $\mathcal{T}_2^{apex}$  thus, they are not proper minors of an obstruction. By Lemma 39, there exists a path R from the vertex  $p_1$  to a vertex u in  $M_0 \setminus T$  avoiding T and the vertices x, y and  $p_2$ . If u is an inner vertex of the path  $P_2$ ,  $\mathbb{G}$  contains the first of the graphs depicted in Figure 3.12 as a minor (obtained by contracting  $p_2$  and  $p_3$  into  $q_1$ ). If u is not contained in  $P_2$ , it equals to one of the vertices  $q_1, q_2$  and  $q_3$ , otherwise it is possible to contract u into

one of the vertices  $q_1$ ,  $q_2$  and  $q_3$  and the graph obtained by such contraction contains as a minor one of the graphs depicted in Figure 3.12.

**Lemma 41.** There are three minor-minimal graphs containing the graph from Figure 3.7a as a minor of  $\tilde{K}_4^{y\setminus x}$ , such that the vertices  $p_1$  and  $p_2$  are contained in the path  $Q_1$  and  $p_3$  is not contained in  $Q_1$ . These are the three graphs depicted in Figure 3.13. The first graph, Figure 3.13a, is an obstruction. The remaining two graphs are not obstructions (by deleting the dashed edges in Figure 3.13b, we obtain the first obstruction in Figure 3.6 and by contracting the dashed edge in Figure 3.13c, we obtain the first obstruction in Figure 3.10).

*Proof.* Note that none of the graphs in Figure 3.13 is contained in  $\mathcal{T}_2^{apex}$  thus, they are not proper minors of an obstruction.

Suppose first that  $p_3 = q_2$ . By Lemma 39, there exists a path R from the vertex  $p_1$  to a vertex u in  $M_0 \setminus T$  avoiding T and the vertices x, y and  $p_2$ . Then, if the vertex u is contained in the path  $P_2$ ,  $\mathbb{G}$  contains the graph depicted in Figure 3.13a as a minor. If u is not contained in  $P_2$ , it is equal to  $q_2$  or  $q_3$ , otherwise u can be contracted into one of these vertices and the graph obtained by such contraction is contains one of the graphs in Figures 3.13b and 3.13c as a minor.

For  $p_3 = q_3$  we obtain isomorphic results. Because we suppose that  $p_3$  is not equal to  $q_1$ ,  $p_3$  is always equal to  $q_2$  or  $q_3$ , otherwise it can be contracted into  $q_2$  or  $q_3$ , and the resulting graph contains one of the graphs in Figure 3.13 as a minor.

![](_page_27_Figure_5.jpeg)

Figure 3.13: Obstructions that contain the graph in Figure 3.7a as a minor of  $\tilde{K}_4^{y \setminus x}$  with not all the vertices  $p_1$ ,  $p_2$  and  $p_3$  on  $Q_1$ .

### **3.2** Obstructions of connectivity 3

In this section we assume that the graph  $\mathbb{G}$  is a 3-connected obstruction for  $\mathcal{T}_2^{apex}$  with a vertex-cut  $\{x, y, z\}$ . Subdivisions of  $K_4$  are denoted in the same way as in Section 3.1.

The following two observations show some basic properties of 3-connected obstructions. Note that the second observation is analogical to Observation 20 for 2-connected obstructions. In fact, they are both special cases of a more general observation, that there exists  $\tilde{K}_4$  that does not contain v for every vertex v in an obstruction.

**Observation 42.** In every obstruction  $\mathbb{G}$ , there exists at least one  $\tilde{K}_4$  that does not contain x, at least one  $\tilde{K}_4$  that does not contain y and at least one  $\tilde{K}_4$  that does not contain z.

*Proof.* Otherwise  $\mathbb{G}$  is an apex of a  $K_4$ -minor-free graph with x, y or z being an apex vertex.

![](_page_28_Figure_5.jpeg)

a) a trivial bridge b) a minor of a nontrivial bridge

Figure 3.14: Minors of bridges in 3-connected obstruction.

We say that a bridge that consists of only four vertices, i.e., vertices x, y and z and one more vertex (see Figure 3.14a), is *trivial*.

**Observation 43.** Every bridge in  $\mathbb{G}$  contains a trivial bridge as a minor. Every nontrivial bridge in  $\mathbb{G}$  contains the graph from Figure 3.14b as a minor.

*Proof.* Every bridge contains at least one vertex v different from the vertices x, y and z. By Corollary 2, it contains tree internally vertex-disjoint paths between the vertex v and the vertices x, y and z. By contracting each of these paths into a single edge (and deleting all other vertices and edges) we obtain the trivial bridge.

If a bridge B is not trivial, it contains at least two vertices different from x, y and z. Let  $v_1$  be one such vertex. By Corollary 2, B contains tree internally vertex-disjoint paths  $P_x$ ,  $P_y$  and  $P_z$  between the vertex  $v_1$  and the vertices x, y and z respectively. Suppose first that at least one of the paths  $P_x$ ,  $P_y$  and  $P_z$ , without loss of generality  $P_x$ , has length at least 2. Then, there must exist a path between an internal vertex of  $P_x$  and a vertex u in  $P_y \setminus v_1$  or  $P_z \setminus v_1$  avoiding  $P_x$ , otherwise the vertices  $v_1$  and

x form a 2-cut in  $\mathbb{G}$ . By contracting u into the vertex y or z we obtain a subdivision of the graph depicted in Figure 3.14b.

Suppose that all the paths  $P_x$ ,  $P_y$  and  $P_z$  have length one. Then, there exist a vertex  $v_2$  in B, distinct from the vertices x, y, z and  $v_1$ . By Corollary 2, there exist tree internally vertex-disjoint paths  $P'_x$ ,  $P'_y$  and  $P'_z$  between the vertex  $v_2$  and the vertices x, y and z respectively. If the vertex  $v_1$  is contained in one of these paths, B contains the graph from Figure 3.14b as a minor. Suppose that  $v_1$  is not contained in any of the paths  $P'_x$ ,  $P'_y$  and  $P'_z$ . Since  $B \setminus \{x, y, z\}$  is connected, there exists a path Q between the vertices  $v_1$  and  $v_2$  in  $B \setminus \{x, y, z\}$ . Let Q' be a subpath of Q avoiding the paths  $P'_x$ ,  $P'_y$  and  $P'_z$  between the vertex  $v_1$  and a vertex w contained in one of the paths  $P'_x$ ,  $P'_y$  and  $P'_z$  between the vertex  $v_1$  and a vertex w contained in one of the paths  $P'_x$ ,  $P'_y$  and  $P'_z$  between the vertex  $v_1$  and a vertex w contained in one of the paths  $P'_x$ ,  $P'_y$  and  $P'_z$  between the vertex  $v_1$  and a vertex w contained in one of the paths  $P'_x$ ,  $P'_y$  and  $P'_z$ , without loss of generality in  $P'_x$ . Then, B after contracting the vertex w into  $v_2$ , contains a subdivision of the graph depicted in Figure 3.14b as a subgraph.

![](_page_29_Figure_2.jpeg)

Figure 3.15: A nontrivial bridge forms  $\tilde{K}_4^{xyz}$  with a trivial bridge, even after contracting the dotted edge.

**Lemma 44.** If the 3-cut in the obstruction  $\mathbb{G}$  yields more than 2 bridges, only one of them is nontrivial.

*Proof.* Suppose that the 3-cut  $\{x, y, z\}$  in  $\mathbb{G}$  yields three bridges  $M_0, M_1$  and  $M_2$ , and  $M_0$  and  $M_1$  are nontrivial. We first prove that every  $\tilde{K}_4$  contains at least two vertices of the 3-cut.

Any two bridges form a  $\tilde{K}_4$  containing  $\{x, y, z\}$  if at least one of them is not trivial (see Figure 3.15 and Observation 43). Thus, every  $\tilde{K}_4$  in  $\mathbb{G}$  contains at least one vertex of the 3-cut (otherwise there exist two disjoint  $\tilde{K}_4$ ).

Suppose that there is  $K_4$  containing only one vertex of the 3-cut, without loss of generality, let  $\tilde{K}_4^x$  be in  $M_0$ . Because  $\mathbb{G} \setminus x$  still contains  $\tilde{K}_4$ , there exists  $\tilde{K}_4^y$ ,  $\tilde{K}_4^z$  or  $\tilde{K}_4^{yz}$  and it has to be in  $M_0$ , too (otherwise there exist two disjoint  $\tilde{K}_4$ ). But then  $\mathbb{G}$  is not an obstruction, because  $M_2$  contains two edges between vertices x, y and z, for example edges xy and xz, as a proper minor (because it contains a trivial bridge as a minor) and the nontrivial bridge  $M_1$  forms with these two edges  $\tilde{K}_4^{xyz}$  (see Figure 3.15).

Thus, every  $K_4$  in  $\mathbb{G}$  contain at least two of the vertices x, y, and z. Then, by Observation 42,  $\mathbb{G}$  has to contain  $\tilde{K}_4^{xy}, \tilde{K}_4^{xz}$  and  $\tilde{K}_4^{yz}$ , otherwise x, y or z is an apex vertex. These three  $\tilde{K}_4$  cannot be in the same bridge, argue as in the previous paragraph. Suppose that each of  $\tilde{K}_4^{xy}, \tilde{K}_4^{xz}$  and  $\tilde{K}_4^{yz}$  is contained in different bridge, without loss of generality,  $\tilde{K}_4^{xy}$  in  $M_0$ ,  $\tilde{K}_4^{xz}$  in  $M_1$  and  $\tilde{K}_4^{yz}$  in  $M_2$ . Then, by deleting edges containing x in  $M_2$ , we obtain the 2-connected graph H with 2-cut  $\{y, z\}$ , that is not an apex of  $K_4$ -minor-free graph: there exists a path between x and y in  $M_1$ and a path between x and z in  $M_0$  thus  $\tilde{K}_4^{xy}$  and  $\tilde{K}_4^{xz}$  are not eliminated by the deletion. Since there are two disjoint paths from y to z in  $M_0$  and  $M_1$ , H contains  $\tilde{K}_4^{yz}$  in  $M_2$  and there does not exist any apex vertex in H (H contains the graph in Figure 3.16a as a minor).

![](_page_30_Figure_1.jpeg)

Figure 3.16: Proper minors of the graphs discussed in the proof of Lemma 44.

The only remaining possibility is that two of  $\tilde{K}_4^{xy}$ ,  $\tilde{K}_4^{xz}$  and  $\tilde{K}_4^{yz}$ , without loss of generality  $\tilde{K}_4^{xy}$  and  $\tilde{K}_4^{xz}$  are contained in the same bridge, suppose in  $M_0$  and  $\tilde{K}_4^{yz}$  in an other bridge, say in  $M_1$ . But then, the graph G' obtained by contracting the whole bridge  $M_2$  to edges xy and xz is not contained in  $T_2^{apex}$ —the  $M_1$  with the edges xyand xz form  $\tilde{K}_4$ , thus if there exists an apex vertex in G', it must be contained in  $M_1$ . The only vertex in  $M_1$  that eliminates  $\tilde{K}_4^{xy}$  and  $\tilde{K}_4^{yz}$  in  $M_0$  is the vertex x. Since there exists a path between y and z in  $M_0$  that does not contain x (otherwise  $\{xy\}$ or  $\{xz\}$  is a 2-cut), the graph  $G' \setminus x$  contains  $\tilde{K}_4^{yz}$  (see Figure 3.16b).

#### **Lemma 45.** Every 3-cut in $\mathbb{G}$ yields at most 3 bridges.

*Proof.* Suppose that there are at least four bridges. Let  $M_0$  be the nontrivial one. Let us contract an edge in one of trivial bridges, without loss of generality, an edge containing the vertex z. In the graph G' obtained from  $\mathbb{G}$  by this contraction there exists an apex vertex. The apex vertex has to be one of the vertices x, y and z: any two bridges with an edge xz or yz form  $\tilde{K}_4$  in G' (see Figure 3.17a).

![](_page_30_Figure_6.jpeg)

Figure 3.17: Impossible positions of an apex vertex in the graph G'.

But if an apex vertex is any of the vertices x, y, z, that vertex is an apex vertex in the original graph  $\mathbb{G}$ , too. Suppose that the vertex x is an apex vertex in G'. Then  $M_0$  does not contain any  $\tilde{K}_4^{\emptyset}$ ,  $\tilde{K}_4^y$ ,  $\tilde{K}_4^z$  and  $\tilde{K}_4^{yz}$  but then, all  $\tilde{K}_4$  in  $\mathbb{G}$  contain x. Hence, x is an apex vertex.

In the following part, we classify obstructions by the maximal degree of a node in their tree decompositions. For this purpose, we consider a tree decomposition  $(T, \mathcal{V})$ with width 3 with the smallest maximal degree of a node, the smallest number of nodes of maximal degree and the smallest number of all nodes, where  $V_t \neq V_{t'}$  for every two nodes  $t, t' \in V(T)$ .

**Observation 46.** In every tree decomposition of a 3-connected graph of treewidth 3, every two adjacent nodes contain three common vertices.

*Proof.* If two adjacent nodes in a tree decomposition share 2 or less vertices, then the vertices form a 2(or less)-cut in the graph.

**Lemma 47.** Let  $\mathbb{G}$  be an obstruction with maximal degree in its tree decomposition T at least 3. Let t be a node with maximal degree in a tree decomposition of  $\mathbb{G}$ . Then every two nodes adjacent to t share at most 2 vertices.

![](_page_31_Figure_5.jpeg)

Figure 3.18: Two neighbors of a node cannot share three vertices.

*Proof.* By the definition of the tree-width, every vertex that is contained in at least two neighbors of t is also contained in t. Since every node contains at most 4 vertices and we suppose that every two different nodes contain different sets of vertices, any two nodes cannot share more than 3 vertices. Suppose that two neighbors t' and t'' of t share 3 vertices x, y, z. Then the vertices x, y, z form a 3-cut in  $\mathbb{G}$  that produces 3 bridges (by Lemma 45 it cannot produce more bridges). The vertices of every bridge are contained in a different component of  $T \setminus \{tt'', tt''\}$ .

By Lemma 44, only one of these three bridges is nontrivial, therefore at least one of the nodes t' and t'', without loss of generality suppose that t', contains only vertices of the trivial bridge and has degree one in T. Then, the tree decomposition T' obtained from T by replacing the edge tt'' by t't'' (as shown in Figure 3.18) is a tree decomposition of  $\mathbb{G}$ , that has smaller number of vertices of maximal degree. That contradicts our choice of T. **Observation 48.** The maximal degree of a node in a tree decomposition of an obstruction  $\mathbb{G}$  is at most 4.

*Proof.* Suppose that there exists a node t of degree greater than 4. Since any two adjacent nodes share three vertices and node t contains at most four vertices, there exist at least two neighbors of t that share the same triple of vertices. By Lemma 47, this is impossible.

**Lemma 49.** If a tree decomposition of an obstruction  $\mathbb{G}$  contains a node of degree 4,  $\mathbb{G}$  is isomorphic to the graph in Figure 3.19b.

![](_page_32_Figure_3.jpeg)

Figure 3.19: The obstruction with a node of degree 4 in its tree decomposition.

Proof. Let t be a node of degree 4 and  $V_t = \{wxyz\}$ . By Lemma 47, every neighbor of the node t contains a different triple of vertices w, x, y, z. Thus triples  $\{w, x, y\}$ ,  $\{w, x, z\}$ ,  $\{w, y, z\}$  and  $\{x, y, z\}$  are 3-cuts in  $\mathbb{G}$ . Then  $\mathbb{G}$  contains the graph in Figure 3.19b as a minor. This graph is an obstruction for  $\mathcal{T}_2^{apex}$ .

We are now going to study obstructions such that the maximal degree of a node in their tree decompositions is 3. In the following lemmas, let t be a node of degree 3 of a tree decomposition of an obstruction  $\mathbb{G}$ ,  $V_t = \{w, x, y, z\}$  and the neighborhood of t is as shown in Figure 3.20. Observe that the vertices x, y and z are in symmetric. Therefore the next lemmas hold for every permutation of x, y and z.

![](_page_32_Figure_7.jpeg)

Figure 3.20: A neighborhood of a node of degree 3.

**Lemma 50.** If xy is not an edge in  $\mathbb{G}$  and the vertex cut  $\{w, x, y\}$  yields only two bridges, then the bridge B given by the 3-cut  $\{w, x, y\}$  such that B does not contain z is not trivial or equal to the bridge depicted in Figure 3.21b.

*Proof.* Since there is no edge between vertices x and y, they do not have to occur in the same node. Therefore if B is trivial or equal to the graph depicted in Figure 3.21b, it is possible to rearrange vertices contained in the bridge B into several nodes of degree two as shown in Figures 3.21a and 3.21b. The tree decomposition T' of  $\mathbb{G}$  obtained from T by rearranging has smaller number of vertices of degree three than T. That contradicts out choice of T.

![](_page_33_Figure_2.jpeg)

Figure 3.21: Vertices of the 3-cut that yields these bridges do not have to be in the same node of a tree decomposition.

**Lemma 51.** Let B be a bridge in  $\mathbb{G}$  produced by the 3-cut  $\{w, x, y\}$  such that B does not contain z. If the vertex cut  $\{w, x, y\}$  produces only two bridges, B contains the graph  $\triangleleft$  depicted in Figure 3.22 as a minor.

![](_page_33_Figure_5.jpeg)

Figure 3.22: A minor of a bridge in G with a node of degree 3 in a tree decomposition.

*Proof.* Suppose that B does not contain  $4^{\bullet}$ . Then B does not contain an edge xy, because B has a trivial bridge as a minor and this minor with the edge xy form  $4^{\bullet}$ . Because B does not contain the edge xy, B must be nontrivial by the previous lemma. Thus, B contains at least one of the graphs in Figures 3.23a, c and d as a minor. The graph  $4^{\bullet}$  is a minor of the graphs in Figures 3.23c and 3.23d (obtained by contracting

the dashed edge), thus B does not contain any of the graphs in Figures 3.23c and d as a minor. Then B contains the graph in Figure 3.23a as a minor. By Lemma 50, B is not equal. Moreover, B does not contain the graph in Figure 3.23b as a minor (the graph in Figure 3.23b contains  $\triangleleft \cdot$  as a minor obtained by contracting the dashed edges).

![](_page_34_Figure_1.jpeg)

Figure 3.23: Some of possible minors of the bridge B that contains the graph  $4^{\bullet}$  as a minor.

Thus, B contains a subgraph M that is a subdivision of the graph in Figure 3.23a. Denote  $P_{v_1x}$  the path in M between x and  $v_1$ ,  $P_{v_1v_2}$  the path in M between  $v_1$  and  $v_2$  that does not contain w and similarly  $P_{v_1w}$ ,  $P_{v_2w}$  and  $P_{v_2y}$ .

Suppose that there exists u that is an inner vertex of the path  $P_{v_1x}$ . From the 3-connectivity of  $\mathbb{G}$  and Corollary 2, there exist three interally vertex-disjoint paths between u and vertices w, x and y. Since u has degree 2 in M, there exists a path P between u and some vertex  $u' \in M \setminus P_{v_1x}$  avoiding M, i.e., all inner vertices of P are in  $B \setminus M$  (P can be also a path with no inner vertices—an edge). The vertex u' is not equal to y or  $v_2$  and is not an inner vertex of paths  $P_{v_2y}, P_{v_1v_2}$  and  $P_{v_2w}$ , otherwise B contains one of the graph in Figures 3.23b and c as a minor. The vertex u' cannot be an inner vertex of  $P_{v_1w}$ , because otherwise B would contain  $\mathfrak{A}$  as a minor (see Figure 3.24a). Thus, the only possibility is, that u' = w.

Similarly, if there exists u that is an inner vertex of the path  $P_{v_2y}$ , there exists a path from u to w avoiding M and there is no such path to any other vertex in  $M \setminus P_{v_2y}$ .

![](_page_34_Figure_6.jpeg)

Figure 3.24: If B has an internal vertex in the path  $P_{v_1w}$ , it contains 4 as a minor.

Suppose that there exists u that is an inner vertex of  $P_{v_1w}$ . Then there exists a path from u to  $u' \in M \setminus P_{v_1w}$  avoiding M. By the previous reasoning, we know that u' cannot be an inner vertex of  $P_{v_1x}$  or  $P_{v_2y}$ , because otherwise B contains one of the graphs in Figures 3.23b and 3.23c as a minor. By the same argument  $u' \neq y$ . If u' is  $v_2$ , an inner vertex of  $P_{v_1v_2}$ ,  $P_{v_2w}$  or y, B contains  $\triangleleft \bullet$  as a minor (see Figure 3.24). Therefore such a vertex u exist. Similarly, there is no inner vertex of  $P_{v_2w}$ .

Suppose that there exists u that is an inner vertex of  $P_{v_1v_2}$ . By the previous reasoning, we know that there exists a path from u to  $u' \in M \setminus P_{v_1v_2}$  avoiding M and u' is not an inner vertex of any of the paths  $P_{v_1w}$ ,  $P_{v_2w}$ ,  $P_{v_1x}$  and  $P_{v_2y}$ . If u' equals x of y, B contains one of the graphs in Figures 3.23b and 3.23c as a minor, thus the only possibility is u' = w.

We conclude that there are no inner vertices in  $P_{v_1w}$  and  $P_{v_2w}$  and the only possible paths from inner vertices of  $P_{v_1v_2}$ ,  $P_{v_2y}$  and  $P_{v_1x}$  avoiding M, are paths to the vertex w and paths with both ends in the same path. Consequently, there must exist at least one vertex u in  $B \setminus M$ , because otherwise B is equal to the graph in Figure 3.21b (which is impossible by the previous lemma). By Corollary 2 there exist internally vertex-disjoint paths from the vertex u to w, x and y in B, thus there exist internally vertex-disjoint paths  $P_1$ ,  $P_2$ ,  $P_3$  from u to distinct vertices  $u_1, u_2, u_3 \in M$ respectively, such that all inner vertices of the paths  $P_1$ ,  $P_2$ ,  $P_3$  are in  $B \setminus M$ .

The set  $\{u_1, u_2, u_3\}$  cannot be equal to  $\{w, x, y\}$ , otherwise u is not a part of the bridge B and the the 3-cut  $\{w, x, y\}$  produces 3 bridges. Note that paths  $P_1$ ,  $P_2$ and  $P_3$  create paths between  $u_1$  and  $u_2$ ,  $u_1$  and  $u_3$  and  $u_2$  and  $u_3$ , that avoids M. Therefore any two of the vertices  $u_1, u_2$  and  $u_3$  cannot be equal to  $v_1$  and y or to  $v_2$ and x (otherwise B contains the graph in Figure 3.23b or the graph in Figure 3.23c as a minor). Thus, if none of  $u_1, u_2$  and  $u_3$  is an inner vertex of the path  $P_{v_1v_2}, P_{v_2y}$ or  $P_{v_1x}$ , they are equal to  $x, v_1$  and w, to  $v_2, y$  and w or to  $v_1, v_2$  and w. Then Bcontains 4 as a minor, as demonstrated in Figures 3.25a and b.

![](_page_35_Figure_3.jpeg)

Figure 3.25: If B contains a vertex u, it has 4 as a minor.

Without loss of generality, we assume that  $u_1$  is an inner vertex of one of the paths  $P_{v_1v_2}$ ,  $P_{v_2y}$  and  $P_{v_1x}$  and the vertices  $u_2$  and  $u_3$  are either contained in the same path or equal to w. Then, if  $u_3 = w$ , the vertices  $u_1$  and  $u_2$  are, in the same path. By contracting them into distinct ends of the path, we obtain one of the cases already analyzed (see Figures 3.25a,b). Thus, B contains  $\triangleleft$  as a minor.

The only remaining possibility is, that all the three vertices  $u_1$ ,  $u_2$  and  $u_3$  are contained in the same path P which is one of the paths  $P_{v_1v_2}$ ,  $P_{v_2y}$  and  $P_{v_1x}$ . Without loss of generality, suppose that vertex  $u_2$  is between vertices  $u_1$  and  $u_3$  in the path P. Then there must exist an inner vertex w' in the path between  $u_1$  and  $u_3$ , and a path from w' to w avoiding M, otherwise ends of P form a 2-cut. But then B contains  $\triangleleft \cdot$  as a minor: We can contract vertices w' and  $u_2$  into single vertex and vertices  $u_1$  and  $u_2$  to the ends of P and then, by contractions shown in Figures 3.25c and d, we obtain  $\triangleleft$ .

So we proved that bridge B must contain the graph  $\triangleleft$  as a minor.

**Observation 52.** If the vertex cut  $\{w, x, y\}$  produces three bridges, then two of them that do not contain the vertex z form the a subgraph that contains the graph  $\triangleleft$  in Figure 3.22 as a minor.

*Proof.* One bridge contains the trivial bridge as a minor and the other contains the edge xy as a minor. These substructures form the graph  $\triangleleft$ .

**Lemma 53.** Let B be a bridge in G produced by the 3-cut  $\{w, x, y\}$  such that B does not contain z. If B contains  $\tilde{K}_4$  avoiding the vertex w, i.e., B contains  $\tilde{K}_4^{\emptyset}$ ,  $\tilde{K}_4^x$ ,  $\tilde{K}_4^y$ or  $\tilde{K}_4^{xy}$ , B contains the graph H in Figure 3.26 as a minor.

![](_page_36_Figure_5.jpeg)

Figure 3.26: A minor of a bridge with  $\tilde{K}_4$  that not containing w.

*Proof.* Suppose first that B contains  $\tilde{K}_4^{xy}$ . Consider  $\tilde{K}_4^{xy}$  with vertices of degree three denoted  $v_1, v_2, v_3$  and  $v_4$  and the paths between x and  $v_1$  and between y and  $v_3$  denoted  $P_x$  and  $P_y$  respectively, such that sum of lengths of paths  $P_x$  and  $P_y$  is minimal. Let the subgraph of  $\tilde{K}_4^{xy}$  consisting of the vertices  $v_1, v_2, v_3$  and  $v_4$  and paths between them that do not contain vertices x and y be denoted C' (see Figure 3.27).

There is no path between a vertex in  $C' \setminus v_1$  and a vertex  $v'_1$  on  $P_x \setminus v_1$  avoiding  $\tilde{K}_4^{xy}$ , otherwise there exists  $\tilde{K}_4^{xy}$  with  $v'_1$  as a vertex of degree 3 instead of the vertex  $v_1$  (see Figure 3.28). Such  $\tilde{K}_4^{xy}$  cannot exist by the choice of  $\tilde{K}_4^{xy}$  (the subpath of  $P_x$ 

![](_page_36_Figure_9.jpeg)

Figure 3.27: Notation used in the proof of Lemma 53 for  $\tilde{K}_4^{xy}$ .

![](_page_37_Figure_0.jpeg)

Figure 3.28: A path between the vertex  $v'_1$  and a vertex in C' yields  $\tilde{K}_4^{xy}$  with shorter path from x.

between  $v'_1$  and x is shorter than  $P_x$ ). A symmetric argument yields that there is no path between a vertex in  $C' \setminus v_3$  and a vertex  $v'_3$  on  $P_y \setminus v_3$  avoiding  $\tilde{K}_4^{xy}$ . Thus, there exists a path from w to a vertex  $u' \in C' \setminus \{v_1, v_3\}$  avoiding  $\tilde{K}_4^{xy}$ , otherwise vertices  $v_1$  and  $v_3$  form a 2-cut. But then, by contracting the whole paths  $P_x$  and  $P_y$  into vertices x and y respectively and contracting u into one of the vertices  $v_2$  and  $v_4$ , we obtain a subdivision of the graph depicted in Figure 3.26.

Suppose that the bridge B contains  $\tilde{K}_{4}^{\emptyset}$ . Let the vertices of degree 3 of  $\tilde{K}_{4}^{\emptyset}$  be denoted  $v_1, v_2, v_3$  and  $v_4$  as shown in Figure 3.29, let  $P_{v_i v_j}$  denote a path between  $v_i$  and  $v_j$  in  $\tilde{K}_{4}^{\emptyset}$  corresponding to the edge between  $v_i$  and  $v_j$  in  $\tilde{K}_{4}^{\emptyset}$ . Since  $\mathbb{G}$  is 3connected, from Corollary 2, it follows that there exist paths  $P_w$ ,  $P_x$  and  $P_y$  from vertices w, x and y respectively to three distinct vertices in  $\tilde{K}_{4}^{\emptyset}$ , such that  $P_x, P_y$  and  $P_w$  avoid  $\tilde{K}_{4}^{\emptyset}$ . If there exist  $P_w, P_x$  and  $P_y$  such that the vertices their ends are not in the same path  $P_{v_i v_j}$ , it is possible to contract the ends of  $P_w, P_x$  and  $P_y$  in  $\tilde{K}_{4}^{\emptyset}$ into three distinct vertices among the vertices  $v_1, v_2, v_3$  and  $v_4$ . Then, by contracting paths  $P_x$  and  $P_y$  we obtain a subdivision of H depicted in Figure 3.26.

Now suppose that B does not contain any  $\tilde{K}_4^{\emptyset}$  such that there exist paths  $P_w$ ,  $P_x$  and  $P_y$ , that do not have their ends in the same path  $P_{v_iv_j}$  in  $\tilde{K}_4^{\emptyset}$ .

Then, all paths from vertices x, y and w to a vertex in  $\tilde{K}_4^{\emptyset}$  avoiding  $\tilde{K}_4^{\emptyset}$  have their ends in the same path  $P_{v_iv_i}$ . Without loss of generality suppose that in  $P_{v_1v_2}$ .

![](_page_37_Figure_6.jpeg)

Figure 3.29: Notation used in the proof of Lemma 53 for  $\tilde{K}_4^{\emptyset}$ .

![](_page_38_Figure_0.jpeg)

Figure 3.30: Three internally vertex disjoint paths to  $v_3$ .

Since there must exist internally vertex disjoint paths from w, x and y to the vertex  $v_3$ , by Corollary 2, there exists a path Q from a vertex  $u_1$  in  $P_{v_1v_2}$  to a vertex  $u_2$  in  $\tilde{K}_4^{\emptyset} \setminus P_{v_1v_2}$  avoiding  $\tilde{K}_4^{\emptyset}$ . Moreover, there exists at least one path  $P_w$ ,  $P_x$ , or  $P_y$  with an end  $e_1 \neq u_1$  in the subpath of  $P_{v_1v_2}$  between  $v_1$  and  $u_1$ . Symmetrically, there exists at least one path  $P_w, P_x$ , or  $P_y$  with an end  $e_2 \neq u_1$  in the subpath of  $P_{v_1v_2}$  between  $v_2$  and  $u_1$  (see Figure 3.30a). Then the ends of the paths  $P_w, P_x$ , and  $P_y$  can be contracted into distinct vertices among  $v_1$ ,  $v_2$  and  $u_1$ . Note that vertex  $u_2$  can always be contracted into  $v_3$  or  $v_4$ . Without loss of generality suppose, that  $u_2 = v_3$ . Then there exists  $\tilde{K}_4^{\emptyset}$  such that the ends of the paths  $P_w, P_x$  and  $P_y$  are not in the same path  $P_{v_iv_i}$ , as shown in Figure 3.30b.

Observe that if you consider only one path  $P_x$  consisting of a single vertex x or  $P_y$  consisting of y in the proof for  $\tilde{K}_4^{\emptyset}$ , i.e., the  $K_4$ -minor is  $\tilde{K}_4^x$  or  $\tilde{K}_4^y$ , the same conclusion can be obtained.

**Lemma 54.** If a tree decomposition of an obstruction  $\mathbb{G}$  contains a node of degree 3,  $\mathbb{G}$  is isomorphic to the graph G shown in Figure 3.31.

![](_page_38_Figure_5.jpeg)

Figure 3.31: The only obstruction with a node of degree 3 in its tree decomposition and its (more symmetric) redrawing.

![](_page_39_Figure_0.jpeg)

a) 2-cuts in the graph  $\mathbb{G} \setminus w$ .

b) A minor of the bridge produced by  $\{w, x, y\}$ .

### Figure 3.32:

*Proof.* The graph G is an obstruction for  $\mathcal{T}_2^{apex}$ . It is enough to prove that every obstruction  $\mathbb{G}$  for  $\mathcal{T}_2^{apex}$  with a node of degree 3 in its tree decomposition contains G as a minor, because an obstruction cannot contain another obstruction as a proper minor.

Since  $\mathbb{G}$  is an obstruction, the graph G' obtained from  $\mathbb{G}$  by deleting the vertex w contains  $\tilde{K}_4$ . Vertices x and y, x and z and y and z form 2-cuts in G', every  $\tilde{K}_4$  in G' has all vertices of degree 3 in the same bridge produced by one of these 2-cuts that does not contain all three vertices x, y and z (see Figure 3.32a). Without loss of generality, suppose that  $\tilde{K}_4$  is contained in the bridge not containing z determined by 2-cut  $\{x, y\}$ . Then the bridge B not containing z determined by the 3-cut  $\{w, x, y\}$ , contains  $\tilde{K}_4$  that does not contain the vertex w. By Lemma 53, B contains the graph given in Figure 3.26 as a minor. By Lemma 51 and Observation 52 applied to the bridges given by the cuts  $\{w, x, z\}$  and  $\{w, y, z\}$ , the bridge not containing z given the by 3-cut  $\{w, x, y\}$  has the graph in Figure 3.32b as a minor. Thus,  $\mathbb{G}$  contains G as a minor.

![](_page_39_Figure_6.jpeg)

Figure 3.33: Structure of 3-connected obstructions with path-width three.

At this point, we have classified all 3-connected obstructions with nodes of degree greater than two in a tree decomposition. Thus, all remaining obstructions have path-width three. Moreover, it can be shown that such obstructions are comprised of three vertex-disjoint paths with some chords between them such that the paths interconnect triangles or two vertices of degree 3 (see Figure 3.33). All such obstructions can be generated by a computer. We have found the three 3-connected obstructions with path-width three depicted in Figure 3.34, but we have neither an independent program to verify the correctness nor a computer-free proof.

![](_page_40_Figure_1.jpeg)

Figure 3.34: Found 3-connected obstructions with path-width three.

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